



HIPAA Risk Assessment Template

Health Hights / 4 May 2023 / Angelica D.

Complete

Score	0%	Flagged items	1	Actions	0
Site conducted					Unanswered
Institution					Health Hights
Conducted on					04.05.2023 08:15 PST
Prepared by					Angelica D.
Location					2226 P St, Sacramento, CA 95816, USA (38.5689064, -121.4792031)

Flagged items

1 flagged

Audit / Risk Assessment / Vulnerability / Vulnerability 2 / Risk rating

Risk rating

High

General

Describe the scope of this HIPAA Risk Assessment

Internal audit to discover gaps and assess how vulnerable we are to threats.

List all participants including role (e.g. physician, resident, nurse, med tech, network manager, etc.)

Head of IT
Head Nurse

Describe key technology components including commercial software

desktops, cellphones, desk phones, printers, patient information on paper documents, patient information contained in our proprietary software

Describe how users access the system and their intended use of the system

- Staff use desktops to access patient information.
- Cellphones and desk phones are used to communicate among staff and with patients.
- Printers are used to print out documents, bills, and other paperwork that may contain PHI.

Risk Assessment

Click (+) Vulnerability after you have identified a vulnerability or threat source

Vulnerability

Vulnerability 1

Threat Source & Vulnerability

Observation

First floor, north wing nurses station was not manned during assessment. nurse was on round while another had to leave the station due to emergency and was back shortly after 5 mins

Threat source/ vulnerability

Intentional Outsider

Evidence (flow diagrams, screenshots etc.) (optional)



Photo 1

Existing controls

Nurses who should be manning the station.

CCTV is there to watch out for anyone attempting unauthorized access to the nurse's station and can be a deterrent.

Risk rating

Consequence

High

Likelihood

Unlikely

Risk rating

Medium

Recommended Controls

Recommended controls or alternative options for reducing risk

Conduct retraining to reinforce the importance of keeping the nurse's station manned. Will check staffing and investigate to make sure we are not undermanned.

Vulnerability 2

1 flagged

Threat Source & Vulnerability

Observation

Desktop was left unlocked on the unmanned nurse station

Threat source/ vulnerability

Other

Enter threat/ vulnerability

Intentional outsider, intentional insider, or anyone who can operate a computer can access any information they're not supposed to see on a computer that's left turned on and accessible on an empty station!

Evidence (flow diagrams, screenshots etc.) (optional)



Photo 2

Existing controls

Computers are supposed to be locked if not being used.

All nurse's stations are supposed to be manned at all times.

CCTV as deterrent and can record anyone attempting to access the nurse's station and look at an unlocked computer.

Risk rating

1 flagged

Consequence

High

Likelihood

Unlikely

Risk rating

High

Recommended Controls

Recommended controls or alternative options for reducing risk

Will talk to Daniel and will definitely include this incident as an example during staff retraining

Vulnerability 3

Threat Source & Vulnerability

Observation

Document laying on the desk unattended and not secured. I set it aside and handed it to the nurse on duty who returned after 5 minutes.

Threat source/ vulnerability

Intentional Outsider

Evidence (flow diagrams, screenshots etc.) (optional)



Photo 3

Existing controls

Medical staff are supposed to keep documents secured and out of unintended eyes.

Risk rating

Consequence

High

Likelihood

Unlikely

Risk rating

Medium

Recommended Controls

Recommended controls or alternative options for reducing risk

Will include proper document handling during training.

Completion

Recommendations

Today is an unusually busy day for the first floor north wing due to an incident that happened locally. That said, it should not be an excuse to keep the nurse's station manned at all times.

Name and Signature

A handwritten signature in black ink, appearing to be 'AMD', with a long horizontal line extending to the right.

Angelica D.
04.05.2023 08:42 PST

Media summary



Photo 1



Photo 2



Photo 3